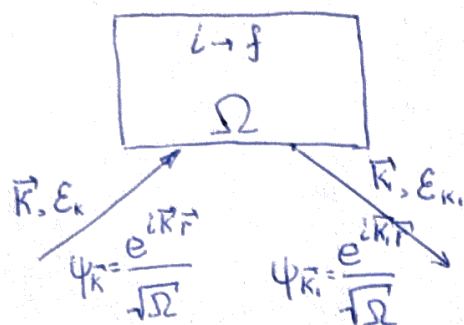


Neutron scattering on a crystal

(16)



Neutron scatters on a crystal with volume Ω .
The momentum of neutron changes: $\vec{k} \rightarrow \vec{k}'$
The state of the crystal changes: $|i\rangle \rightarrow |f\rangle$

The probability (Fermi's golden rule):

$$W_{\vec{k} \rightarrow \vec{k}'} = \frac{2\pi}{\hbar} |M_{\vec{k} \rightarrow \vec{k}'}|^2 \delta(E_i + E_k - E_f - E_{k'})$$

$$M_{\vec{k} \rightarrow \vec{k}'} = \langle f | \int_{\Omega} d\vec{r} \psi_{\vec{k}'}^*(\vec{r}) \cdot U(\vec{r}) \psi_{\vec{k}}(\vec{r}) | i \rangle$$

$$U(\vec{r}) = \sum_n U_n(\vec{r} - \vec{R}_n) \quad \text{interaction of neutrons with atoms in the crystal}$$

$$\int_{\Omega} d\vec{r} \cdot \frac{e^{-i\vec{k}'\vec{r}}}{\sqrt{\Omega}} \cdot \sum_n U_n(\vec{r} - \vec{R}_n) \cdot \frac{e^{i\vec{k}\vec{r}}}{\sqrt{\Omega}} = \sum_n \frac{1}{\Omega} \cdot \int_{\Omega} d\vec{r} \cdot U_n(\vec{r} - \vec{R}_n) e^{i(\vec{k} - \vec{k}')\vec{r}}$$

$\vec{k}' - \vec{k} = \vec{q}$ - change of neutron momentum

$E_{k'} - E_k = \hbar\omega$ - change of neutron energy

$$= \sum_n \frac{1}{\Omega} \int_{\Omega} d\vec{r} \cdot U_n(\vec{r}) e^{-i\vec{q}(\vec{r} + \vec{R}_n)}$$

$$= \sum_n e^{-i\vec{q}\vec{R}_n} \cdot \underbrace{\frac{1}{\Omega} \int_{\Omega} d\vec{r} U_n(\vec{r}) e^{-i\vec{q}\vec{r}}}_{U_n(\vec{q})}$$

$U_n(\vec{q})$ - Fourier component of $U(\vec{r})$

N.B. For neutrons, $U_n(\vec{r}) \propto \delta(\vec{r})$,

so $U_n(\vec{q}) = \frac{1}{\Omega} \cdot \frac{2\pi\hbar^2}{m_n} \cdot b_n = \text{const}$
2 scattering length

Reminder:
$$\begin{cases} \Phi(\vec{r}) = \sum_{\vec{q}} \Phi(\vec{q}) e^{i\vec{q}\vec{r}} \\ \Phi(\vec{q}) = \frac{1}{\Omega} \int d\vec{r} \cdot \Phi(\vec{r}) e^{-i\vec{q}\vec{r}} \end{cases}$$

$$M_{\vec{k} \rightarrow \vec{k}'} = \langle f | \sum_n e^{-i\vec{q}\vec{R}_n} U_n(\vec{q}) | i \rangle = \sum_n U_n(\vec{q}) \langle f | e^{-i\vec{q}\vec{R}_n} | i \rangle$$

$$W_{\vec{k} \rightarrow \vec{k}'} = \frac{2\pi}{\hbar} \underbrace{\sum_n U_n(\vec{q}) \langle f | e^{-i\vec{q}\vec{R}_n} | i \rangle}_M \underbrace{\sum_n U_n(\vec{q}) \langle i | e^{i\vec{q}\vec{R}_n} | f \rangle}_{M^*} \underbrace{\frac{1}{2\pi\hbar} \int_{-\infty}^{+\infty} dt e^{\frac{i}{\hbar}(E_i - E_f - \hbar\omega)t}}_{\delta(E_i - E_f + E_k - E_{k'})}$$

$$= \frac{1}{\hbar^2} \sum_{nn'} U_n(\vec{q}) U_{n'}(\vec{q}) \int_{-\infty}^{+\infty} dt e^{-i\omega t} \underbrace{\langle i | e^{i\vec{q}\vec{R}_n} | f \rangle}_{e^{-\frac{i}{\hbar}E_f t}} \cdot \underbrace{\langle f | e^{-i\vec{q}\vec{R}_{n'}} | i \rangle}_{e^{-\frac{i}{\hbar}E_i t} e^{-\frac{i}{\hbar}E_k t}}$$

Reminder: $\hat{H}|f\rangle = E_f|f\rangle \Rightarrow \underbrace{\hat{H} \dots \hat{H}}_n |f\rangle = \hat{H}^n |f\rangle = (E_f)^n |f\rangle \Rightarrow e^{-\frac{i}{\hbar}\hat{H}t} |f\rangle = e^{-\frac{i}{\hbar}E_f t} |f\rangle$

$$= \frac{1}{\hbar^2} \sum_{nn'} U_n(\vec{q}) U_{n'}(\vec{q}) \int_{-\infty}^{+\infty} dt e^{-i\omega t} \langle i | e^{i\vec{q}\vec{R}_n} e^{-\frac{i}{\hbar}\hat{H}t} | f \rangle \cdot \langle f | e^{-i\vec{q}\vec{R}_{n'}} e^{\frac{i}{\hbar}\hat{H}t} | i \rangle$$

We don't know the initial state of the crystal, therefore we have to average over all possible initial states i .

$2\omega_i = \frac{1}{2} e^{-\frac{E_i}{kT}}$ = probability of the crystal to be in the state " i "

We also have to average over all possible states f .

Due to the delta-function in Fermi's golden rule, we will automatically take into account only such states f that satisfy the conservation of energy.

Thus $W_{\vec{k} \rightarrow \vec{k}'} = \sum_i 2\omega_i \sum_f W_{\vec{k} \rightarrow \vec{k}'}^{(i,f)}$

$$W_{\vec{k} \rightarrow \vec{k}'} = \frac{1}{\hbar^2} \sum_{nn'} U_n(\vec{q}) U_{n'}(\vec{q}) \int_{-\infty}^{+\infty} dt e^{-i\omega t} \sum_i \omega_i \cdot \sum_f \langle i | e^{i\vec{q}\vec{R}_n} e^{-\frac{i}{\hbar}\hat{H}t} | f \rangle \langle f | e^{-i\vec{q}\vec{R}_{n'}} e^{\frac{i}{\hbar}\hat{H}t} | i \rangle$$

Reminder: $\sum_i \langle i | \hat{A} | f \rangle \langle f | \hat{B} | i \rangle = \langle f | \hat{A} \hat{B} | f \rangle$

$\hat{A}(t) = e^{\frac{i}{\hbar}\hat{H}t} \hat{A}(0) e^{-\frac{i}{\hbar}\hat{H}t}$ - Heisenberg's representation of the operator $\hat{A}$

$$W_{\vec{k} \rightarrow \vec{k}'} = \frac{1}{\hbar^2} \sum_{nn'} U_n(\vec{q}) U_{n'}(\vec{q}) \int_{-\infty}^{+\infty} dt e^{-i\omega t} \sum_i \omega_i \cdot \underbrace{\langle i | e^{i\vec{q}\vec{R}_n(0)} e^{-i\vec{q}\vec{R}_n(t)} | i \rangle}_{\langle \langle e^{i\vec{q}\vec{R}_n(0)} e^{-i\vec{q}\vec{R}_n(t)} \rangle \rangle}$$

double averaging: over state " i "
over all states

For a simple monoatomic crystal: $\hat{R}_n = \hat{n} + \hat{u}_n$, $\hat{u}_n = \sum_{\xi} \hat{u}_{n\xi}$, $u_{n\xi} \sim \frac{1}{\sqrt{N}}$ & small (16)

$$\langle i | e^{i\vec{q}\hat{R}_n(0)} e^{-i\vec{q}\hat{R}_n(t)} | i \rangle = \langle i | e^{i\vec{q}\hat{n}} e^{i\vec{q}\hat{u}_n(0)} e^{-i\vec{q}\hat{n}} e^{-i\vec{q}\hat{u}_n(t)} | i \rangle$$

$$= e^{i\vec{q}(\hat{n}_i - \hat{n})} \cdot \langle i | e^{i\vec{q} \sum_{\xi} \hat{u}_{n\xi}(0)} e^{-i\vec{q} \sum_{\xi} \hat{u}_{n\xi}(t)} | i \rangle = e^{i\vec{q}(\hat{n}_i - \hat{n})} \langle i | \prod_{\xi} e^{i\vec{q}\hat{u}_{n\xi}(0)} \prod_{\xi} e^{-i\vec{q}\hat{u}_{n\xi}(t)} | i \rangle$$

$$= e^{i\vec{q}(\hat{n}_i - \hat{n})} \cdot \langle i | \prod_{\xi} \left(1 + i\vec{q}\hat{u}_{n\xi}(0) - \frac{1}{2}(\vec{q}\hat{u}_{n\xi}(0))^2 + \dots \right) \cdot \prod_{\xi} \left(1 - i\vec{q}\hat{u}_{n\xi}(t) - \frac{1}{2}(\vec{q}\hat{u}_{n\xi}(t))^2 + \dots \right) | i \rangle$$

$$\approx e^{i\vec{q}(\hat{n}_i - \hat{n})} \prod_{\xi} \prod_{\xi'} \langle i | 1 + i\vec{q}\hat{u}_{n\xi}(0) - i\vec{q}\hat{u}_{n\xi}(t) - \frac{1}{2}(\vec{q}\hat{u}_{n\xi}(0))^2 - \frac{1}{2}(\vec{q}\hat{u}_{n\xi}(t))^2 + \vec{q}\hat{u}_{n\xi}(0) \cdot \vec{q}\hat{u}_{n\xi}(t) | i \rangle$$

$$\vec{q}\hat{u}_{n\xi} = q^{\alpha} \hat{u}_{n\xi}^{\alpha}, \quad \langle i | \vec{q}\hat{u}_{n\xi} | i \rangle = q^{\alpha} \cdot \underbrace{\langle i | \hat{u}_{n\xi}^{\alpha} | i \rangle}_{=0} = 0$$

Debye-Waller factor

$$(\vec{q}\hat{u}_{n\xi})^2 = q^{\alpha} q^{\beta} \hat{u}_{n\xi}^{\alpha} \hat{u}_{n\xi}^{\beta}; \quad \langle i | (\vec{q}\hat{u}_{n\xi})^2 | i \rangle = q^{\alpha} q^{\beta} \langle i | \hat{u}_{n\xi}^{\alpha} \hat{u}_{n\xi}^{\beta} | i \rangle = \frac{1}{3} q^2 \langle u_{n\xi}^2 \rangle = Z_{n\xi}(q) \leftarrow$$

$$= \delta^{\alpha\beta} \langle i | \hat{u}_{n\xi}^{\alpha} \hat{u}_{n\xi}^{\beta} | i \rangle = \frac{1}{3} \delta^{\alpha\beta} \langle i | \hat{u}_{n\xi}^2 | i \rangle = \frac{1}{3} \delta^{\alpha\beta} \langle u_{n\xi}^2 \rangle$$

for cubic symmetry

$$\langle i | \vec{q}\hat{u}_{n\xi}(0) \cdot \vec{q}\hat{u}_{n\xi}(t) | i \rangle = D_{n,\xi}(t) \approx \text{correlator}$$

$$\approx e^{i\vec{q}(\hat{n}_i - \hat{n})} \prod_{\xi} \prod_{\xi'} \left(1 - \frac{1}{2} Z_{n,\xi}(q) - \frac{1}{2} Z_{n,\xi'}(q) + D_{n,\xi}(t) \right)$$

$$\approx e^{i\vec{q}(\hat{n}_i - \hat{n})} \cdot \left(1 - \frac{1}{2} \underbrace{\sum_{\xi} Z_{n,\xi}(q)}_{Z_n(q) = \frac{1}{3} q^2 \langle u_n^2 \rangle} - \frac{1}{2} \underbrace{\sum_{\xi} Z_{n,\xi}(q)}_{Z_n(q)} + \underbrace{\sum_{\xi, \xi'} D_{n,\xi}(t)}_{D_{n,n}(t)} \right)$$

$$\approx e^{i\vec{q}(\hat{n}_i - \hat{n})} e^{-Z_n(q)} (1 + D_{n,n}(t))$$

The potential $U_n(\vec{r})$ (and the Fourier components $U_n(\vec{q})$) depends on the isotopes and direction of the nuclear spin. In a paramagnetic situation, we have to average over isotopes and spins

$$U_n(\vec{q}) = \overbrace{U(\vec{q})}^{\text{average}} + \overbrace{\Delta U_n(\vec{q})}^{\text{fluctuation}} \quad (\overline{\Delta U_n(\vec{q})} = 0)$$

$$\overline{U_n(\vec{q}) U_n(-\vec{q})} = \overline{[U(\vec{q}) + \Delta U_n(\vec{q})][U(-\vec{q}) + \Delta U_n(-\vec{q})]} = \underbrace{\overline{U(\vec{q}) U(-\vec{q})}}_{|U(\vec{q})|^2} + 0 + 0 + \underbrace{\overline{\Delta U_n(\vec{q}) \Delta U_n(-\vec{q})}}_{\delta_{nn,} \cdot \overline{|\Delta U_n(\vec{q})|^2}}$$

$$\overline{W_{\vec{k} \rightarrow \vec{k}_i}} = \frac{1}{\hbar^2} \sum_{n, n_i} \left\{ |U(\vec{q})|^2 + \delta_{nn,} \overline{|\Delta U_n(\vec{q})|^2} \right\} \int_{-\infty}^{+\infty} dt \cdot e^{-i\omega t} \cdot e^{i\vec{q}(\vec{n}_i - \vec{n})} e^{-2i\vec{q} \cdot \vec{r}} (1 + D_{n,n}(t))$$

$$= \frac{1}{\hbar^2} \sum_{n, n_i} e^{i\vec{q}(\vec{n}_i - \vec{n})} \cdot e^{-2i\vec{q} \cdot \vec{r}} \cdot \underbrace{\left\{ |U(\vec{q})|^2 \right\}}_{\text{coherent}} \cdot \underbrace{\left\{ \delta_{nn,} \overline{|\Delta U_n(\vec{q})|^2} \right\}}_{\text{incoherent}} \cdot \left\{ \underbrace{2\pi \cdot \delta(\omega)}_{\text{elastic}} + \underbrace{D_{n,n}(\omega)}_{\text{inelastic}} \right\}$$

$$D_{n,n}(\omega) = \int_{-\infty}^{+\infty} dt e^{-i\omega t} \langle \langle \vec{q} \hat{u}_n(0) \cdot \vec{q} \hat{u}_n(t) \rangle \rangle = q^\alpha q^\beta \int_{-\infty}^{+\infty} dt e^{-i\omega t} \langle \langle \hat{u}_n^\alpha(0) \cdot \hat{u}_n^\beta(t) \rangle \rangle$$

Reminder: $\hat{u}_n^\beta(t) = \sum_{\vec{\zeta}} \sqrt{\frac{\hbar}{2NM\omega_{\vec{\zeta}}}} \left(\ell_{\vec{\zeta}}^\beta e^{i\vec{\zeta}\vec{n}} \hat{b}_{\vec{\zeta}}(t) + \ell_{\vec{\zeta}}^{\beta*} e^{-i\vec{\zeta}\vec{n}} \hat{b}_{\vec{\zeta}}^\dagger(t) \right)$

$$= q^\alpha q^\beta \sum_{\vec{\zeta}, \vec{\zeta}_1} \frac{\hbar}{2NM\omega_{\vec{\zeta}}\omega_{\vec{\zeta}_1}} \int_{-\infty}^{+\infty} dt e^{-i\omega t} \left\{ \ell_{\vec{\zeta}}^\alpha \ell_{\vec{\zeta}_1}^\beta e^{i\vec{\zeta}\vec{n}} e^{i\vec{\zeta}_1\vec{n}} \langle \langle \hat{b}_{\vec{\zeta}}(0) \hat{b}_{\vec{\zeta}_1}(t) \rangle \rangle \right. \\ \left. + \ell_{\vec{\zeta}}^\alpha \ell_{\vec{\zeta}_1}^{\beta*} e^{i\vec{\zeta}\vec{n}} e^{-i\vec{\zeta}_1\vec{n}} \langle \langle \hat{b}_{\vec{\zeta}}(0) \hat{b}_{\vec{\zeta}_1}^\dagger(t) \rangle \rangle \right. \\ \left. + \ell_{\vec{\zeta}}^{\alpha*} \ell_{\vec{\zeta}_1}^\beta e^{-i\vec{\zeta}\vec{n}} e^{i\vec{\zeta}_1\vec{n}} \langle \langle \hat{b}_{\vec{\zeta}}^\dagger(0) \hat{b}_{\vec{\zeta}_1}(t) \rangle \rangle \right. \\ \left. + \ell_{\vec{\zeta}}^{\alpha*} \ell_{\vec{\zeta}_1}^{\beta*} e^{-i\vec{\zeta}\vec{n}} e^{-i\vec{\zeta}_1\vec{n}} \langle \langle \hat{b}_{\vec{\zeta}}^\dagger(0) \hat{b}_{\vec{\zeta}_1}^\dagger(t) \rangle \rangle \right\}$$

It can be seen that $\langle i | \hat{b}_{\vec{\zeta}}(0) \hat{b}_{\vec{\zeta}}(t) | i \rangle = \langle i | \hat{b}_{\vec{\zeta}}^\dagger(0) \hat{b}_{\vec{\zeta}}^\dagger(t) | i \rangle = 0$.

The mixed terms are non-zero only if $\vec{\zeta} = \vec{\zeta}_1$. Let us evaluate $\langle i | \hat{b}_{\vec{\zeta}}(0) \hat{b}_{\vec{\zeta}}^\dagger(t) | i \rangle$

$$\langle i | \hat{b}_{\vec{\zeta}} e^{\frac{i}{\hbar} \hat{H} t} \hat{b}_{\vec{\zeta}}^\dagger e^{-\frac{i}{\hbar} \hat{H} t} | i \rangle = e^{-\frac{i}{\hbar} E_i t} \langle i | \hat{b}_{\vec{\zeta}} e^{\frac{i}{\hbar} \hat{H} t} \hat{b}_{\vec{\zeta}}^\dagger | i \rangle = \sqrt{n_{\vec{\zeta}}+1} e^{-\frac{i}{\hbar} E_i t} \langle i | \hat{b}_{\vec{\zeta}} e^{\frac{i}{\hbar} \hat{H} t} | i, n_{\vec{\zeta}}+1 \rangle$$

$$e^{\frac{i}{\hbar} (E_i + \hbar\omega_{\vec{\zeta}}) t} | i, n_{\vec{\zeta}}+1 \rangle$$

$$= \sqrt{n_{\vec{\zeta}}+1} e^{-\frac{i}{\hbar} E_i t} e^{\frac{i}{\hbar} (E_i + \hbar\omega_{\vec{\zeta}}) t} \langle i | \hat{b}_{\vec{\zeta}} | i, n_{\vec{\zeta}}+1 \rangle = (n_{\vec{\zeta}}+1) \cdot e^{i\omega_{\vec{\zeta}} t} \cdot \frac{\langle i | i \rangle}{\sqrt{n_{\vec{\zeta}}+1} \cdot | i \rangle}$$

Averaging over all initial states gives: $\langle \langle \hat{b}_{\vec{\zeta}}(0) \hat{b}_{\vec{\zeta}}^\dagger(t) \rangle \rangle = \delta_{\vec{\zeta}, \vec{\zeta}_1} \cdot (\bar{n}_{\vec{\zeta}}+1) e^{i\omega_{\vec{\zeta}} t}$

Analogously, $\langle \langle \hat{b}_{\vec{\zeta}}^\dagger(0) \hat{b}_{\vec{\zeta}}(t) \rangle \rangle = \delta_{\vec{\zeta}, \vec{\zeta}_1} \cdot \bar{n}_{\vec{\zeta}} \cdot e^{-i\omega_{\vec{\zeta}} t}$

$$D_{n,n}(\omega) = q^\alpha q^\beta \sum_{\vec{\zeta}} \frac{\hbar}{2NM\omega_{\vec{\zeta}}} \left\{ \ell_{\vec{\zeta}}^\alpha \ell_{\vec{\zeta}}^{\beta*} e^{i\vec{\zeta}(\vec{n}-\vec{n})} (\bar{n}_{\vec{\zeta}}+1) \underbrace{\int_{-\infty}^{+\infty} dt e^{-i\omega t} e^{i\omega_{\vec{\zeta}} t}}_{2\pi\delta(\omega-\omega_{\vec{\zeta}})} + \ell_{\vec{\zeta}}^{\alpha*} \ell_{\vec{\zeta}}^\beta e^{-i\vec{\zeta}(\vec{n}-\vec{n})} \bar{n}_{\vec{\zeta}} \underbrace{\int_{-\infty}^{+\infty} dt e^{-i\omega t} e^{-i\omega_{\vec{\zeta}} t}}_{2\pi\delta(\omega+\omega_{\vec{\zeta}})} \right\}$$

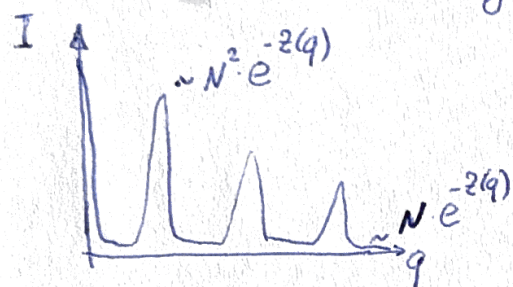
$$= \sum_{\vec{\zeta}} \frac{2\pi\hbar}{2NM\omega_{\vec{\zeta}}} |\vec{q} \vec{\ell}_{\vec{\zeta}}|^2 \left\{ \underbrace{e^{i\vec{\zeta}(\vec{n}-\vec{n})} (\bar{n}_{\vec{\zeta}}+1) \cdot \delta(\omega-\omega_{\vec{\zeta}})}_{\text{creation of a phonon}} + \underbrace{e^{-i\vec{\zeta}(\vec{n}-\vec{n})} \bar{n}_{\vec{\zeta}} \cdot \delta(\omega+\omega_{\vec{\zeta}})}_{\text{annihilation of a phonon}} \right\}$$

$$W_{el}^{coh}(\vec{q}, \omega) = \frac{1}{\hbar^2} \underbrace{\sum_n \sum_{n'} e^{i\vec{q}(\vec{r}_n - \vec{r}_{n'})}}_{N^2 \delta_{\vec{q}, \vec{G}}} \cdot e^{-2(\vec{q})} \cdot |U(\vec{q})|^2 \cdot 2\pi \delta(\omega) = \frac{2\pi}{\hbar^2} \delta(\omega) \cdot |N \cdot U(\vec{q})|^2 \cdot e^{-2(\vec{q})} \cdot \delta_{\vec{q}, \vec{G}}$$

coherent elastic scattering gives sharp Bragg peaks at $\vec{q} = \vec{G}$.

$$W_{el}^{inc}(\vec{q}, \omega) = \frac{1}{\hbar^2} \sum_n \sum_{n'} \underbrace{e^{i\vec{q}(\vec{r}_n - \vec{r}_{n'})} \cdot \delta_{nn'}}_1 \cdot e^{-2(\vec{q})} \cdot |U_n(\vec{q})|^2 \cdot 2\pi \delta(\omega) = \frac{2\pi}{\hbar^2} \delta(\omega) \cdot \sum_n |U_n(\vec{q})|^2 \cdot e^{-2(\vec{q})}$$

incoherent elastic scattering gives a background between the Bragg peaks

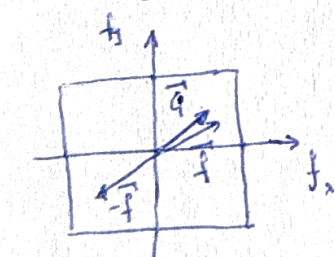


$$N^2 \cdot \delta_{\vec{q}, \vec{G}} = N^2 \cdot \delta_{\vec{G}, \vec{G} - \vec{q}}$$

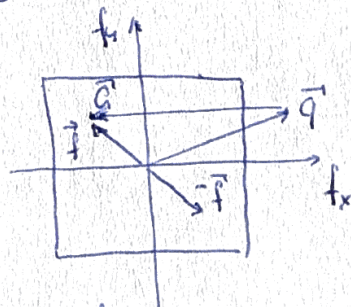
$$W_{inel}^{coh}(\vec{q}, \omega) = \frac{1}{\hbar^2} e^{-2(\vec{q})} |U(\vec{q})|^2 \cdot \sum_s \frac{2\pi \hbar}{2M N \omega_s} |\vec{q} \cdot \vec{e}_s|^2 \cdot \left\{ \underbrace{\sum_{nn'} e^{i(\vec{f} + \vec{q})(\vec{r}_n - \vec{r}_{n'})}}_{N^2 \delta_{\vec{f} + \vec{q}, \vec{G}}} (\vec{n}_s + 1) \delta(\omega - \omega_s) + \right. \\ \left. + \sum_{nn'} \underbrace{e^{-i(\vec{f} - \vec{q})(\vec{r}_n - \vec{r}_{n'})}}_{N^2 \delta_{\vec{f} - \vec{q}, \vec{G}}} \cdot \vec{n}_s \cdot \delta(\omega + \omega_s) \right\}$$

$$N^2 \delta_{\vec{f} + \vec{q}, \vec{G}} = N^2 \delta_{\vec{f}, \vec{G} - \vec{q}}$$

Regardless of the momentum transfer $\vec{q}$ of a neutron, the phonon with a quasi-momentum $\vec{f} \in 1^{st}$ Brillouin zone would be created (annihilated), such that $\vec{f} = \vec{G} \pm \vec{q}$ lies in the 1st Brillouin zone.



normal-process



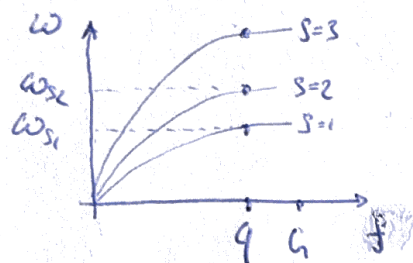
umklapp-process

For simplicity, let us assume a normal process ($\vec{G}=0$)

$$W_{\text{inel}}^{\text{coh}} = \frac{2\pi}{\hbar} |N U(\vec{q})|^2 e^{-2\langle \vec{q} \rangle} \sum_s \frac{|\vec{q} \cdot \vec{e}_s(\vec{q})|^2}{2NM\omega_s(\vec{q})} \cdot \left\{ \underbrace{\overline{n(\omega_s(\vec{q}))} + 1}_{\text{creation of a phonon}} \cdot \delta(\omega - \omega_s(\vec{q})) + \underbrace{\overline{n(\omega_s(\vec{q}))}}_{\text{absorption of phonon}} \cdot \delta(\omega + \omega_s(\vec{q})) \right\}$$

At a fixed $\vec{q}$, the scattering will occur only at phonon frequencies.

Thus, one can experimentally measure phonon dispersion



$$W_{\text{inel}}^{\text{inc}}(\vec{q}, \omega) = \frac{1}{\hbar^2} \sum_{nn'} \underbrace{e^{i\vec{q}(\vec{r}_n - \vec{r}_{n'})}}_{\sum_n} \cdot \delta_{nn'} e^{-2\langle \vec{q} \rangle} \cdot \overline{|U_n(\vec{q})|^2} \cdot \underbrace{D_{nn}(\omega)}_{D_{nn}(\omega)}$$

$$D_{nn}(\omega) = \sum_s \frac{2\pi\hbar}{2NM\omega_s} |\vec{q} \cdot \vec{e}_s|^2 \cdot \{ (\bar{n}_s + 1) \delta(\omega - \omega_s) + \bar{n}_s \delta(\omega + \omega_s) \}$$

$$= q^d q^B \cdot \sum_s \frac{2\pi\hbar}{2NM\omega_s} \cdot \underbrace{e_s^d e_s^B}_{|\vec{e}_s|^2 = 1} \cdot \{ (\bar{n}_s + 1) \delta(\omega - \omega_s) + \bar{n}_s \delta(\omega + \omega_s) \}$$

T^{dB} - in a cubic crystal, $T^{dB} = T \cdot \delta^{dB}$

$$T^{dd} = T \cdot \underbrace{\delta^{dd}}_3 = 3T \Rightarrow T = \frac{1}{3} T^{dd}$$

$$T^{dd} = \sum_s \frac{2\pi\hbar}{2NM\omega_s} \cdot \underbrace{e_s^d e_s^d}_{|\vec{e}_s|^2 = 1} \cdot \{ \dots \}$$

$|\vec{e}_s|^2 = 1$ (for a monoatomic crystal)

$$D_{nn}(\omega) = \underbrace{q^d q^B \cdot \delta^{dB}}_{q^2} \cdot \frac{1}{3} \sum_s \frac{2\pi\hbar}{2NM\omega_s} \cdot \{ (\bar{n}_s + 1) \delta(\omega - \omega_s) + \bar{n}_s \delta(\omega + \omega_s) \}$$

$$= q^2 \cdot \frac{2\pi\hbar}{2M} \cdot \int_0^{\omega_{\max}} d\omega_s \cdot \underbrace{\frac{1}{3N} \sum_s \delta(\omega_s - \omega)}_{g(\omega) - \text{density of phonon states}} \cdot \left\{ \frac{\overline{n(\omega_s)} + 1}{\omega_s} \delta(\omega - \omega_s) + \frac{\overline{n(\omega_s)}}{\omega_s} \delta(\omega + \omega_s) \right\}$$

$$= q^2 \cdot \frac{\pi\hbar}{M} \cdot \int_0^{\omega_{\max}} d\omega_s \cdot g(\omega_s) \cdot \left\{ \frac{\overline{n(\omega_s)} + 1}{\omega_s} \delta(\omega - \omega_s) + \frac{\overline{n(\omega_s)}}{\omega_s} \delta(\omega + \omega_s) \right\}$$

$$D_{nn}(\omega) = q^2 \frac{\pi \hbar}{M} \cdot \left\{ g(\omega) \cdot \frac{\overline{n(\omega)+1}}{\omega} + \underbrace{g(-\omega)}_{g(-\omega)=g(\omega)} \cdot \frac{\overline{n(-\omega)}}{-\omega} \right\}$$

$$\frac{\overline{n(-\omega)}}{-\omega} = -\frac{1}{\omega} \cdot \frac{1}{e^{\frac{\hbar\omega}{kT}} - 1} = \frac{1}{\omega} \cdot \frac{e^{\frac{\hbar\omega}{kT}}}{e^{\frac{\hbar\omega}{kT}} - 1} = \frac{\overline{n(\omega)+1}}{\omega}$$

$$\overline{n(\omega)+1} = \frac{1}{e^{\frac{\hbar\omega}{kT}} - 1} + 1 = \frac{e^{\frac{\hbar\omega}{kT}}}{e^{\frac{\hbar\omega}{kT}} - 1}$$

$$D_{nn}(\omega) = q^2 \frac{2\pi \hbar}{M} \cdot g(\omega) \cdot \frac{\overline{n(\omega)+1}}{\omega}$$

$$W_{\text{inel.}}^{\text{inel.}}(\vec{q}, \omega) = \frac{2\pi q^2}{\hbar M} \cdot \sum_n |\overline{U_n(\vec{q})}|^2 \cdot e^{-2(\vec{q})} \cdot \frac{\overline{n(\omega)+1}}{\omega} \cdot g(\omega) \propto g(\omega).$$

Incoherent inelastic scattering allows one to experimentally measure the density of phonon states $g(\omega)$.

